Oscillations of atomic fermions in a one dimensional optical lattice

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A semiclassical model is used to investigate oscillations of atomic fermions in a combined magnetic trap and one dimensional optical lattice potential following axial displacement of the trap. The oscillations are shown to have a characteristic small amplitude, damped behavior in the collisionless regime. The presence of a separatrix in the semiclassical Brillouin zone phase space is predicted and shown to produce a strongly asymmetric phase space distribution function.

I. INTRODUCTION

The recent advances in the cooling of atomic Bose and Fermi gases to degeneracy, and the simplicity with which the gas can be confined in a periodic optical lattice potential provide a new point of departure for quantum transport studies of atomic bosons [1, 2, 3, 4, 5] and fermions [6, 7, 8, 9]. In particular, neutral atomic fermions form a nearly ideal gas at nanokelvin temperatures, and by contrast to conduction electrons in solids, can traverse the dimensions of the Brillouin zone without collision.

In a recent experiment a gas of fermionic potassium 40 atoms was evaporatively cooled in a combined magnetic and optical lattice potential, leading to a degenerate cloud at a temperature of a fraction, approximately, one third the Fermi temperature T_F for the system of N atoms [9]. Specifically, the experiment involved a configuration with a one dimensional axial lattice potential produced by a laser standing wave field, with tight magnetic confinement in the transverse plane, but a weaker magnetic trap in the direction coaxial with the lattice thereby emphasizing the latter's role. By displacing the center of the axial magnetic field with respect to the lattice, dipolar oscillations of the Fermi cloud in the lattice potential were investigated. The principal observations were that the amplitude of the oscillations were significantly smaller than the trap displacement, and were damped over several periods of the motion.

In this paper we show that these observations can be explained by a simple semiclassical theory of collisionless atomic fermion motion in the combined magneto-optical potential. This confirms the qualitative physical picture discussed in ref.[9]. The effects of fermion statistics are felt only in the initial conditions. A broad distribution of occupied quasi momentum states with large radial quantum numbers is created by evaporative cooling on account of the Pauli exclusion principle. In a semiclassical picture the lattice transport dynamics can in principle be determined by following the particle trajectories in a Brillouin zone phase space consisting of atomic position and quasi momentum. In the problem of interest here, we are able to do this explicitly in the reduced two dimensional phase space of axial components of position and quasi momentum. The effects of radial motion are readily accounted for by an appropriate summation over radial quantum numbers.

The remainder of this paper is organized as follows. In section II we develop a theoretical model for the dynamics of the degenerate cloud in the confined magnetic and optical lattice potentials. In section III we discuss the semiclassical distribution function on the axial phase space, and illustrate how the trap displacement influences the evolution of the distribution function, and the center of mass motion of the cloud. In section IV we summarize our conclusions.

II. SEMICLASSICAL ATOMIC PHASE SPACE TRAJECTORIES

The Hamiltonian for single particle motion in a combined optical lattice and magnetic confining potential may be usefully written in the following form

$$H = H_0 + H_1 + V(z), \tag{1}$$

where $H_0 = (p_x^2 + p_y^2)/(2m) + m\omega_r^2(x^2 + y^2)/2$, $H_1 = p_z^2/2m + V_0 sin^2 k_L z$ and $V(z) = m\omega_a^2 z^2/2$. The Hamiltonian H_0 governs the radial harmonic motion produced by the magnetic trap, while $V(z)$ is the residual part of the magnetic confinement in the axial direction. For tight radial confinement $\omega_r >> \omega_a$. The Hamiltonian $H_1(z) = H_1(z + a)$ describes one dimensional motion in an optical lattice with period $a = \lambda_L/2$, where λ_L is the optical wavelength and $k_L = 2\pi/\lambda_L$ is the wavenumber. The lattice depth $V_0 = sE_r$ is conventionally parameterized by a dimensionless multiple s, of the atomic recoil energy $E_r = \hbar^2 k_L^2/(2m)$.

We are here interested in the following specific experimental situation. A single component Fermi gas is cooled to degeneracy in the combined magnetic and optical lattice potential to well below the Fermi temperature T_F. The axial potential is then rapidly displaced $V(z) \to V(z-d)$, and the degenerate gas performs oscillations in the lattice. These oscillations were observed by waiting for some time before switching off both magnetic and trap potentials and imaging the cloud after a period of ballistic expansion [9].

The one dimensional lattice Hamiltonian H_1 has Bloch eigenfunctions $\psi_{\alpha q}(z)$ and eigenvalues $\mathcal{E}_\alpha(q) = \mathcal{E}_\alpha(q+K)$ with $K = (2\pi/a) \times$ integer, any reciprocal lattice vector. Here $\hbar q$ is the axial quasi momentum associated with translations $z \to z + a$ in the periodic lattice, and α is the integer axial band index. We employ a semiclassical approach to treat the transport dynamics of the atomic fermions in the axial direction. The method is well suited to the description of the collisionless regime relevant to single component ultracold fermions where s wave scattering is prohibited by the antisymmetry of the two-body wave function and p-wave scattering is strongly suppressed. The energy bands implicitly take into account the axial lattice potential responsible for large changes in the physical momentum of the moving particle, but which conserve the quasi momentum $\hbar q$. We assume, consistent with ref. [9], that only the fundamental axial band $\alpha = 1$ is populated at the temperatures of interest, and therefore we will suppress the axial band index. In order to correctly describe quantum mechanical motion in the plane transverse to the lattice, radial quantum numbers will arise later in the theoretical development.

In the semiclassical theory, motion of an atom is considered to be restricted to a given energy band, and only external forces other than the lattice, in this case due to the magnetic trap, can change its quasi momentum. It follows that the axial motion of a fiducial atom, in response to the axial displacement d of the trap, is found by solving the equations of motion

$$\begin{aligned} \hbar\dot{q}(t) &= -m\omega_a^2(z(t) - d) \\ \dot{z}(t) &= \frac{1}{\hbar}\frac{\partial\mathcal{E}(q)}{\partial q}. \end{aligned} \tag{2}$$

We note that these equations are independent of any radial quantum numbers associated with motion in the transverse xy plane.

As a simple example consider motion confined to near the bottom of the fundamental axial band, but with arbitrary radial excitation. We may write $\mathcal{E}(q) = \hbar^2 q^2/(2m_{eff}) +$ constant, with m_{eff} the associated effective mass. The equations of motion reduce to

$$\frac{d^2 z(t)}{dt^2} = -\Omega^2\left(z(t) - d\right), \tag{3}$$

describing harmonic oscillations about the displaced position d with renormalized frequency $\Omega = \sqrt{m/m_{eff}}\ \omega_a$ [10]. The harmonic approximation predicts large amplitude dipole oscillations but cannot correctly describe the orbits of occupied fermionic states with relatively large axial quasi momentum far from the band minimum. On the other hand a Bose condensate would have a narrow quasi momentum distribution [4]. The simple harmonic theory indicates that one should expect large amplitude dipole oscillations in this case, as has been observed in experiments [9, 11]. An ideal gas model with a narrow quasi momentum distribution is, however, not sufficient

to correctly describe superfluid effects associated with an interacting Bose condensate [12].

In order to treat non harmonic phase space orbits we note that any axial energy band must satisfy the periodicity requirement $\mathcal{E}(q) = \mathcal{E}(q + K)$. For example, the axial energy band

$$\mathcal{E}(q) = \frac{2(\hbar k_L)^2}{m_{eff}\pi^2}sin^2\left(\frac{\pi q}{2k_L}\right). \tag{4}$$

behaves like $\hbar^2 q^2/(2m_{eff})$ for small q, but also satisfies the periodicity requirement with period $2k_L$. Thus all physically distinct states of a single fermionic atom lie in the range $-k_L \le q \le k_L$. This energy band has the additional advantage that it produces pendulum dynamics and the model can be solved analytically. In this case the equations of motion become

$$\begin{aligned} \hbar\dot{q}(t) &= -m\omega_a^2(z(t) - d) \tag{5} \\ \dot{z}(t) &= \frac{\hbar k_L}{m_{eff}\pi}sin\left(\frac{\pi q(t)}{k_L}\right). \tag{6} \end{aligned}$$

Eliminating z and defining the dimensionless quasi momentum $Q \equiv \pi q/(2k_L)$ we get the conservation equation

$$\frac{d}{dt}\left(\dot{Q}(t)^2 + \Omega^2 sin^2 Q(t)\right) = 0. \tag{7}$$

The first integral may be written $\dot{Q}(t)^2 + \Omega^2 sin^2 Q(t) = \Omega^2 M$ where M is fixed for a given orbit. The solution is given by

$$sin Q(t) = \sqrt{M}sn\left(\pm\Omega t + \phi_M | M\right) \tag{8}$$

where the initial phase

$$\phi_M = u(sin Q(0)/\sqrt{M} | M) \tag{9}$$

is given in terms of the Legendre elliptic function of the first kind $u(x|m)$. Using the identities $sn(x|M) = sn(\sqrt{M}x|1/M)/\sqrt{M}$ and $\sqrt{M}u(sin\phi|M) = u(\sqrt{M}sin\phi|1/M)$, we can also write

$$sin Q(t) = sn\left(\pm\sqrt{M}\Omega t + \phi_{1/M} | 1/M\right) \tag{10}$$

where the initial phase $\phi_{1/M} = \phi_M\sqrt{M}$ is given by

$$\phi_{1/M} = u(sin Q(0)|1/M). \tag{11}$$

We present these results in terms of elliptic functions in which the amplitude argument, either M or $1/M$, is in the range $[0, 1]$. This is useful for the comparison of orbits with $M < 1$ and $1/M < 1$. As we discuss further below, these orbits are analogous to the oscillating and rotating orbits, respectively, of a mechanical pendulum.

Differentiation of the result for $sin Q(t)$ with respect to time yields

$$\dot{Q}(t) = \pm\Omega\sqrt{M}cn(\pm\Omega t + \phi_M | M), \tag{12}$$

which in turn gives the atomic trajectories

$$z(t) - d = \mp \ell \sqrt{M} cn(\pm \Omega t + \phi_M | M). \qquad (13)$$

or, using $cn(x|M) \equiv dn(\sqrt{M}x|1/M)$

$$z(t) - d = \mp \ell \sqrt{M} dn(\pm \sqrt{M} \Omega t + \phi_{1/M} | 1/M).$$

The value of M depends on the trap displacement and satisfies the "energy" conservation condition,

$$(z(0) - d)^2 + \ell^2 sin^2 Q(0) = \ell^2 M. \qquad (14)$$

where we define the length scale

$$\ell = \frac{2}{\pi} \sqrt{\frac{m}{m_{eff}}} \left(\frac{\hbar k_L}{m \omega_a} \right), \qquad (15)$$

such that $\mathcal{E}(q) = e_0 sin^2 Q$ with $e_0 = m \omega_a^2 \ell^2 / 2$. For $M \leq 1$, the orbits in the $z - q$ phase space are closed, whereas for $M > 1$ they are open. The separatrix orbit $M = 1$ has homoclinic fixed points on the Brillouin zone boundary, analogous to the infinite period motion of a physical pendulum between vertically upwards initial and final positions, $sinQ(t) = tanh(\pm \Omega t + \phi_1)$ and $(z(t) - d)/\ell = \mp sech(\pm \Omega t + \phi_1)$. The qualitatively different character of these orbits is responsible for a nontrivial dependence of the dipole oscillations on the initial trap displacement. When the trap is displaced $z \rightarrow z - d$, the peak of the phase space distribution function remains at $z = 0$, but the separatrix is given by Eq.(14) with $M = 1$, and is centered in the region $z > 0$. Subsequently the atoms lying inside the separatrix will perform closed orbits, while those left outside remain outside, and perform small amplitude spatial oscillations as they traverse the Brillouin zone. The relative importance of the two sets of orbits in the overall motion of the cloud is determined by the fraction of atoms initially inside and outside the separatrix. In turn, this is governed by the magnitude of the initial displacement d relative to ℓ. As we will illustrate in the following section, the axial distribution function can develop strong asymmetry for large trap displacements, due to the separatrix structure of the Brillouin zone phase space.

III. DYNAMICS OF THE AXIAL ATOMIC DISTRIBUTION FUNCTION

The initial thermal distribution of atomic fermions produced by evaporative cooling in the combined magnetic trap and optical lattice potentials, can be written in terms of a semiclassical distribution function for motion in the axial direction with the radial harmonic oscillator quantum numbers n_x and n_y. Since the radial potential is assumed to be azimuthally symmetric, only the combination $n_x + n_y$ arises. Recall that we assume the temperature is sufficiently low that only the lowest axial band is populated [9]. We are therefore left to consider

a set of axial distribution functions with different radial quantum numbers. Specifically, the initial axial distribution function is given by $f^{(0)}(z, q) = \sum_{n_r=0}^{\infty} f_{n_r}^{(0)}(z, q)$, where

$$f_{n_r}^{(0)}(z, q) = (n_r + 1) \left[e^{\beta(n_r \hbar \omega_r + \mathcal{E}(q) + V(z) - \mu)} + 1 \right]^{-1}, \qquad (16)$$

with $\beta = 1/(k_B T)$, $n_r = 0, 1, 2, ...$ the radial quantum number and μ the chemical potential. Note that, due to the lattice, this is a function of z and quasi momentum $\hbar q$, as opposed to physical momentum. The factor $(n_r + 1)$ is the degeneracy of the radial band with energy $\hbar \omega_r n_r$ above the ground state $n_r = 0$. The distribution function is assumed not to change if the axial trap is displaced rapidly. The subsequent collisionless motion of the cloud causes the distribution to change according to the Boltzmann equation

$$\frac{\partial f_{n_r}}{\partial t} + \frac{\partial \mathcal{E}(q)}{\partial \hbar q} \cdot \frac{\partial f_{n_r}}{\partial z} - m \omega_a^2 (z - d) \frac{\partial f_{n_r}}{\partial q} = 0, \qquad (17)$$

with solution

$$f_{n_r}(z, q, t) = f_{n_r}^{(0)}(z(t), q(t)). \qquad (18)$$

Using the previous analysis above we have that, for $M < 1$,

$$sinQ(t) = \frac{sinQ(0) cn \Omega t dn \Omega t - \frac{(z(0)-d)}{\ell} cosQ(0) dn \Omega t}{1 - sin^2 Q(0) sn^2 \Omega t} \qquad (19)$$

$$z(t) - d = \frac{(z(0) - d) cn \Omega t + \ell sinQ(0) cosQ(0) sn \Omega t dn \Omega t}{1 - sin^2 Q(0) sn^2 \Omega t}$$

where we note that $dn(\phi_M | M) = cosQ(0) \geq 0$ in the first Brillouin zone, and $sn \Omega t \equiv sn(\Omega t | M)$; similarly for cn and dn. For $M > 1$, the following expressions hold

$$sinQ(t) = \frac{sinQ(0) cn \omega t dn \omega t - \frac{(z(0)-d)}{\ell \sqrt{M}} cosQ(0) sn \omega t}{1 - sin^2 Q(0) sn^2 \omega t / M} \qquad (20)$$

$$z(t) - d = \frac{(z(0) - d) dn \omega t + \frac{\ell}{\sqrt{M}} sinQ(0) cosQ(0) sn \omega t cn \omega t}{1 - sin^2 Q(0) sn^2 \omega t / M}$$

where we note $cn(\phi_{1/M} | 1/M) = cosQ(0)$ and $sn \omega t \equiv sn(\sqrt{M} \Omega t | 1/M)$, etc.

The axial number density of fermions in radial band n_r at time t, is given by integrating over the quasi momentum of a single Brillouin zone

$$n_{n_r}(z, t) = \int_{-k_L}^{k_L} \frac{dq}{2\pi} f_{n_r}(z, q, t), \qquad (21)$$

and the total axial column density $n(z, t) = \sum_{n_r=0}^{\infty} n_{n_r}(z, t)$. Similarly, the distribution of quasi momentum can be computed by summation of the distribution functions for the individual radial energy bands, $n_{n_r}(q, t) = \int dz f_{n_r}(z, q, t)$.

In Fig.1, we show the initial spatial density as a function of radial quantum number n_r and z at a temperature
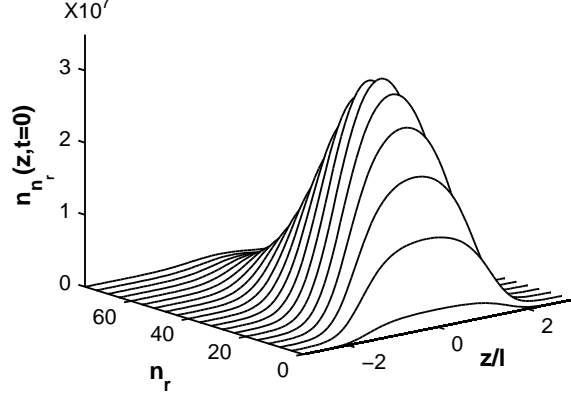
FIG. 1: The initial atomic density is shown as a function of the radial quantum number n_r. The total axial density $n(z, 0)$ is found by summation over n_r. Parameters are given in the body of the text.
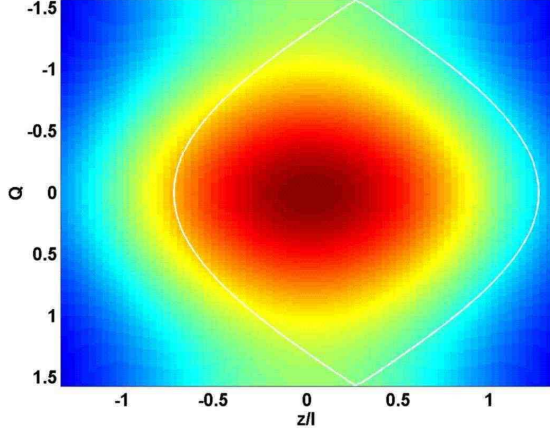

FIG. 3: The axial atomic distribution function at $\Omega t = 15$, in the z-Q phase space for $d = 15\mu$m. The region of high density lies inside the separatrix, but has been stretched out over the region. Other parameters are the same as in Fig. 1.

FIG. 2: The initial axial atomic distribution function in the z-Q phase space for $d = 15\mu$m. The oval shaped contour is the separatrix across which particles may not cross during the collisionless dynamics. Other parameters are the same as in Fig. 1.

FIG. 4: Small amplitude damped oscillation of the axial component of the cloud center of mass near the undisplaced center of the cloud $z = 0$. The position of the displaced trap center is shown by the dashed line. Other parameters are the same as in Fig. 1.

$T \approx 0.3T_F$. We have taken the experimental parameters from ref. [9], except that we use the analytical model energy band discussed in the last section rather than the numerical result appropriate to an optical standing wave. Hence our results are not intended for quantitative comparison with the experiment. However, since the qualitative features of the model energy band are the same as those shown in ref. [9], its predictions should be in qualitative agreement with the experimental observations. The parameters employed in the figures are given here mainly in units of temperature, therefore energies are recovered by multiplying by Boltzmann's constant. We consider potassium-40 atoms cooled in a magnetic
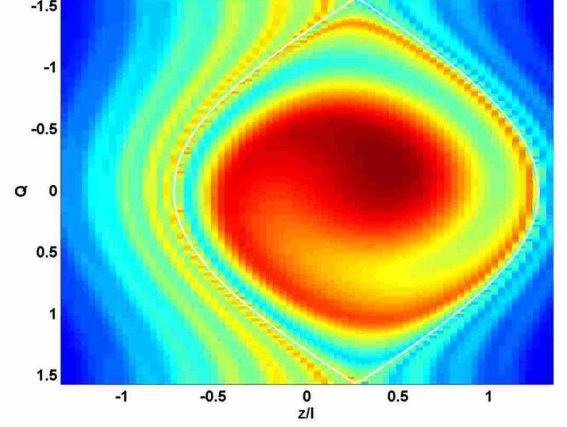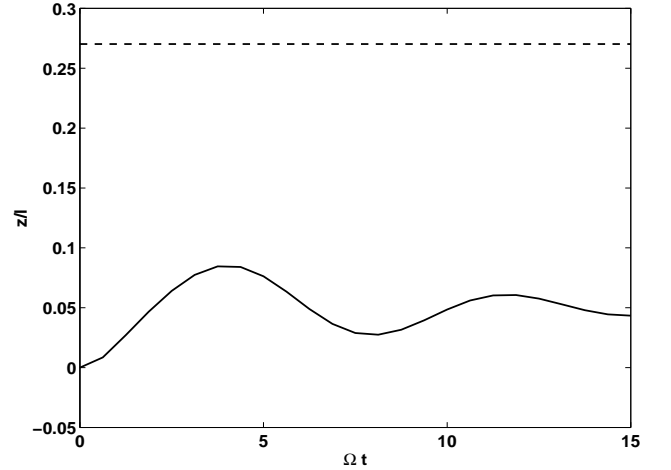
trap with radial and axial frequencies $\omega_r = 15.2$nK and $\omega_a = 1.15$nK, respectively. The wavelength of the incident light is taken to be $\lambda_L = 754$nm. The chemical potential $\mu = 430$nK, and $\beta = 6.98(\text{MK})^{-1}$. For our model axial energy band, assuming $m_{eff} = m$ for simplicity, this gives the length scale $\ell \approx 55.5\mu$m and band depth $e_0 \approx 170$nK. A density plot of the initial distribution function is shown in Fig.2, along with the separatrix trajectory in the phase space following trap displacement. Orbits inside and outside the separatrix follow its contour precisely as occurs in the case of the mechanical pendulum. In this example we assume a trap
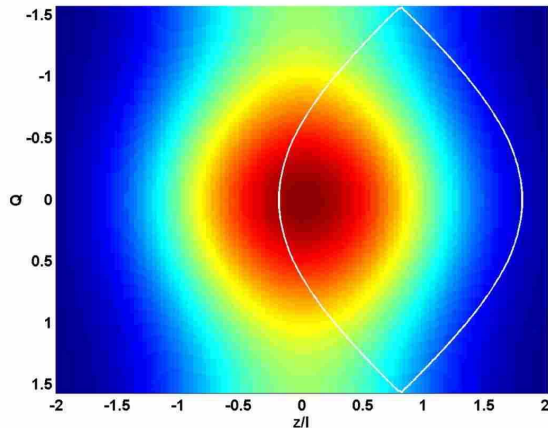
FIG. 5: The initial atomic distribution function in the z-Q phase space for $d = 45\mu$m. The region of high density traverses the phase space separatrix. Other parameters are the same as in Fig. 1.
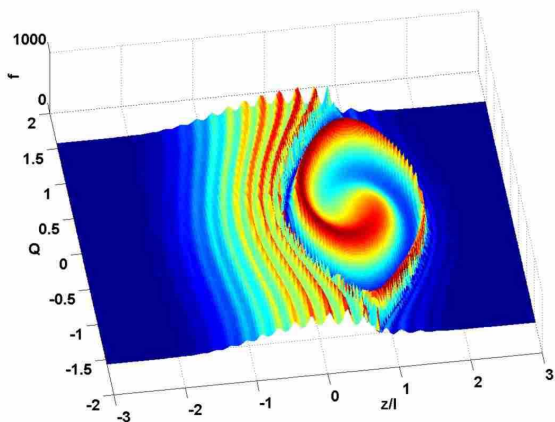

FIG. 6: The atomic distribution function in the z-Q phase space at $\Omega t_f = 15$ for $d = 45\mu$m. The complicated structure reflects the open and closed orbits in the first Brillouin zone, which lead to a strong asymmetry. Other parameters are the same as in Fig. 1.

displacement $d = 15\mu$m much less than ℓ, so that the bulk of the atomic distribution lies inside the separatrix, and therefore most though not all particles will undergo bound orbits in the first Brillouin zone.

The dynamics of the particle distribution in each radial band can be calculated separately, as explained above, and then summed over the radial quantum number n_r to get the total axial distribution function. However, the latter summation is unnecessary for a qualitative understanding of the dynamics. Each radial band distribution function has the same dynamics, because the phase space trajectories $(z(t), q(t))$ are independent of the ra-

dial quantum number n_r. In particular the damped motion of the cloud center of mass is evident in the distribution function $f_{n_r}(z, q, t)$ dynamics, for a fixed radial quantum number n_r. In Fig.3 we show the total axial distribution which evolves from the initial distribution shown in Fig.2 at time $\Omega t_f = 15$. During this time, the center of mass of each radial band density undergoes a similar damped dipole oscillation leading to the center of mass dynamics for the cloud illustrated in Fig.4. We note that the amplitude of the oscillation is small compared to the displacement of the trap center, in qualitative agreement with the observations of ref.[9]. The damping is caused by the stretching of the distribution function along the phase space trajectories, not by collisions, dissipation or the influence of different radial orbits. It is straightforward to check, and is indeed obvious in the limit of a very narrow distribution in (z, Q) phase space, that the damping is correspondingly reduced. However, a Fermi distribution must necessarily have a broad distribution of Q states on account of the Pauli exclusion principle. Damping is therefore a feature of collisionless Fermi transport dynamics. A narrow quasi momentum distribution is predicted also by the Gross-Pitaevski dynamics of a superfluid Bose condensate in a lattice, and in this case undamped oscillations have been observed [9, 11].

The non harmonic orbits of large axial quasi momentum which are necessarily populated in a Fermi gas cause the stretching of the distribution function both inside and outside the separatrix. As noted above, each radial band executes a similar damped motio in phase with the others. In Fig.5 and Fig.6 we illustrate the dynamics of the axial distribution function for a larger trap displacement $d = 45\mu$m, a value which is comparable to the separatrix distance $\ell \approx 55\mu$m. In this case a fraction approaching one half of the particles now follow open orbits in the first Brillouin zone. The total distribution function develops strong whorls inside the separatrix and spatial oscillations occur in the exterior. The basic left-right asymmetry survives the integration over the quasi momentum required to determine the axial spatial density, but the center of mass motion is qualitatively similar to that illustrated in Fig.4. Damped oscillations of the Fermi gas alone cannot be viewed as strong evidence of a separatrix structure in the Brillouin zone phase space, since both closed and open orbits produce small amplitude center of mass oscillations. However, the presence of the separatrix could well be observable experimentally if the amplitude of center of mass oscillations were systematically studied as a function of trap displacement d. Alternatively, direct measurements of the axial distribution function or spatial density would be most useful.

IV. CONCLUSION

We have shown that a simple semiclassical theory of the axial motion of a gas of atomic fermions, without any

collisional interactions, produces transport dynamics in qualitative agreement with recent experimental observations [9]. The gas executes damped oscillations with an amplitude small compared to the axial magnetic trap displacement. We also point out the presence of a separatrix in the Brillouin zone phase space which produces strong asymmetries in the axial phase space distribution and axial density functions. It would be interesting to investigate this structure further experimentally, for example, by looking for non-monotonic behavior of the transport properties as a function of the initial trap displacement

d.

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